

Company Filing

Overview/Policy

The NMLS Company (MU1) Form must be completed by a company applying for a license in one or more states. If the company is applying for new licensure, the Individual (MU2) Form must also be completed for control persons, qualifying individuals, and branch managers. The Company (MU1) Form can be used to request licenses in multiple states. However, not all license types are managed in NMLS, and some information may have to be submitted to state regulators outside of NMLS.

A Company (MU1) Form is also completed to maintain, amend, and/or surrender a license. Each time a company submits a completed filing, that filing will be stored as the company's record for subsequent filings. Please note some of the information provided in the filing, as well as certain license/registration details, will be made viewable to the public.

Definitions and Charts

Term	Definition
Filing	The Company (MU1) Form is the uniform application form that a company or sole proprietorship applying for any company license must complete. Applicants must also refer to the state licensing requirements on the NMLS Resource Center to fully understand the license requirements.
Filing Status: <i>Submission Required</i>	This filing status indicates that all MU2 filings have been attested to, and the filing is ready to be submitted as long as all completeness checks for this filing are clear.
Filing Status: <i>Request MU2 Attestation</i>	This filing status indicates that the company must request attestation for at least one MU2 filing associated with the Company (MU1) Form. The company user should request attestation in the <i>MU2 Forms</i> section of the Company (MU1) Form.
Filing Status: <i>MU2 Attestation Required</i>	This filing status indicates that at least one MU2 filing associated with the Company (MU1) Form requires the individual to complete their attestation. No further action is required on the company's part at this time.

How To

Create a Company (MU1) Form:

1. Click the **Filing** tab.
2. Click **Company (MU1)**.

3. Click **Create New Filing**.

NOTE: The most recent filing submitted by the company can be viewed by selecting the **View Latest Filing** button.

If the **Create New Filing** button is not available, there may already be a pending filing created. Click the edit icon () to edit the pending filing.

Create a Company Filing

You can manage filings for your company using the actions described below.

AVAILABLE ACTIONS	FUNCTION
Create New Filing	<ul style="list-style-type: none"> Request a new license or transition an existing license. Withdraw a license application or surrender an existing license. Make amendments to your record or provide Advance Change Notice to the regulator of upcoming changes to your record.
	<ul style="list-style-type: none"> Complete and submit the pending filing.
	<ul style="list-style-type: none"> Delete the pending filing, including any pending changes.
View Latest Filing	<ul style="list-style-type: none"> Review your Company Form as it was last submitted by your company, if no pending filing exists.

NOTE: A filing cannot be submitted until you resolve any outstanding completeness checks on the Attest and Submit screen. A submitted filing will be stored as the company's record for subsequent submissions.

Available Actions	Filing ID	Created Date	Created By	Entity Name	Filing Status
	131178	3/19/2014 1:18:41 PM	SmithJ124	Maryland Money Transmitters	Submission Required

Helpful Hints

1. There can only be one pending Company (MU1) Form at a time. Any company user with the "Create and Submit" MU1/MU2 role can complete and submit a filing with a "Submission Required" status.

Additional Resources:

- Find State-Specific requirements on the [NMLS Resource Center](#).
- Details regarding information available on NMLS Consumer Access can be found within [Information Viewable on NMLS Consumer Access](#).
- Quick Guides:
 - [Submitting Company Form \(MU1\)](#)

See Company and Branch Help [Table of Contents](#)